

1625 / DPW  
Image

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. Unit : 1625  
Examiner :  
Serial No. : 10/524,517  
Filed : February 11, 2005  
Inventors : Masao Morimoto  
: Haruyo Sato  
Title : PROCESS FOR PRODUCING  
: OXYCARBONYL-SUBSTITUTED  
: PIPERAZINE DERIVATIVE

Customer No.: 035811

Docket No.: TIP-05-1008

Confirmation No.: 1151

Dated: April 20, 2006

**REQUEST FOR CORRECTED FILING RECEIPT**

Attn: Application Processing Division  
Customer Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are in receipt of the official filing receipt. We note that under Applicants, one of the inventor's address has been misspelled. Please change "Nagaya, Japan" to read -- Nagoya, Japan --.

A copy of the filing receipt with the correction noted and a copy of the Combined Declaration are enclosed.

We look forward to receiving a corrected filing receipt in due course.

Respectfully submitted,

T. Daniel Christenbury  
Reg. No. 31,750

TDC:cc  
(215) 656-3381



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit	: 1625	Customer No.: 035811
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Serial No.	: 10/524,517	
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Inventors	: Masao Morimoto	Docket No.: TIP-05-1008
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Customer Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**Certificate of Mailing Under 37 CFR 1.8**

For

Postcard  
Request for Corrected Filing Receipt  
Filing Receipt with Correction Noted  
Copy of Combined Declaration, Power of Attorney and Petition

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed Attn: Application Processing Division, Customer Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date appearing below.

Name of Applicant, Assignee, Applicant's Attorney  
or Registered Representative:

DLA Piper Rudnick Gray Cary US LLP  
Customer No. 035811

By: 

Date: 20 APR 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/524,517	02/11/2005	1625	1560	TIP-05-1008		24	2

CONFIRMATION NO. 1151

35811

IP GROUP OF DLA PIPER RUDNICK GRAY CARY US LLP

1650 MARKET ST

SUITE 4900

PHILADELPHIA, PA 19103

FILING RECEIPT

RECEIVED



\*OC000000018499564\*

APR 14 2006

RECEPT.

Date Mailed: 04/11/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Masao Morimoto, Nagoya, JAPAN;  
Haruyo Sato, Nagoya, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number 35811.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP03/11204 09/02/2003

**Foreign Applications**

JAPAN 2002-260376 09/05/2002

**Projected Publication Date:** 07/20/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Process for producing oxycarbonyl-substituted piperazine derivative

2/11/06

**Preliminary Class**

546

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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- ☐ Original Application  
☒ PCT National Application  
U.S. Designated Office  
☐ Continuation or Divisional Application  
☐ Continuation-in-Part Application

**COMBINED DECLARATION,  
POWER OF ATTORNEY AND PETITION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **PROCESS FOR PRODUCING OXYCARBONYL-SUBSTITUTED PIPERAZINE DERIVATIVE**

☐ which is described in the specification and claims

☐ attached hereto.

☐ filed on \_\_\_\_\_

Application Serial No. \_\_\_\_\_

and was amended on \_\_\_\_\_  
(if applicable)

☒ which is described in International Application No. PCT/JP03/11204

filed September 2, 2003 and as amended on

\_\_\_\_\_(if any),

which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.



COMBINED DECLARATION, POWER OF ATTORNEY AND PETITION  
(Page 2)

Attorney Docket No. TIP-05-1008

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International Application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or of any PCT International Application having a filing date before that of the application on which priority is claimed:

Number	Country	Date of Filing (day,month,year)	Priority Claimed
2002-260376	Japan	5 September 2002	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no
2002-291344	Japan	3 October 2002	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no
2002-294206	Japan	7 October 2002	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no
2002-310132	Japan	24 October 2002	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no
2002-366223	Japan	18 December 2002	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no
2003-314809	Japan	05 September 2003	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no
2003-338927	Japan	30 September 2003	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no
2003-338928	Japan	30 September 2003	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no

I hereby claim the benefit under Title 35, United States Code, §119(e) or §120 (as applicable) of any United States application(s) or §365(c) of any PCT International Application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International Application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)(patented,pending,abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)(patented,pending,abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered attorneys to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:

T. Daniel Christenbury Reg. No. 31,750  
Paul A. Taufer Reg. No. 35,703  
Darius C. Gambino Reg. No. 41,472

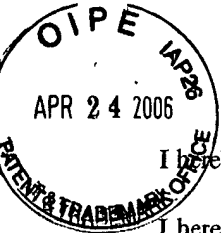
Paul Carango Reg. No. 42,386  
Steven A. Nash Reg. No. 45,507  
Andrew A. Noble Reg. No. 48,651

SEND CORRESPONDENCE TO:

Customer No. 035811, whose contact information is:  
IP Group of DLA Piper Rudnick Gray Cary US LLP  
One Liberty Place, Suite 4900  
1650 Market Street  
Philadelphia, PA 19103

DIRECT TELEPHONE CALLS TO  
ATTORNEY OF RECORD AT:

(215) 656-3300



COMBINED DECLARATION, POWER OF ATTORNEY AND PETITION  
(Page 3)

Attorney Docket No. TIP-05-1008

I hereby petition for grant of a United States Letters Patent on this invention.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1. FULL NAME OF SOLE OR FIRST INVENTOR Masao Morimoto		INVENTOR'S SIGNATURE <i>Masao Morimoto</i>	DATE 26.1.2005
RESIDENCE Nagoya, Japan		CITIZENSHIP Japan	
POST OFFICE ADDRESS 18-301, Otabako-cho 2-chome, Mizuho-ku Nagoya-shi, Aichi 467-0006 Japan			
2. FULL NAME OF JOINT INVENTOR, IF ANY Haruyo Sato		INVENTOR'S SIGNATURE <i>Haruyo Sato</i>	DATE 27.1.2005
RESIDENCE Nagoya, Japan		CITIZENSHIP Japan	
POST OFFICE ADDRESS 2-28, Uchide, Nakagawa-ku Nagoya-shi, Aichi 454-0926 Japan			
3. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
4. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
5. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
6. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
7. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			